

Oral qualifying exam syllabus for Daniel Tan

Fall 2023

Major Topic: Vertex operator algebras

1. Definitions and properties
 - (a) Formal calculus.
 - (b) The notions of vertex algebra and of vertex operator algebra, and basic properties.
 - (c) Equivalent definitions of vertex operator algebras.
2. Modules for vertex operator algebras
 - (a) The notion of a module for a vertex operator algebra.
 - (b) Equivalent definitions for modules.
 - (c) Construction theorems for vertex algebras and modules.
3. Examples of vertex algebras and their modules
 - (a) Heisenberg vertex operator algebras.
 - (b) Lattice vertex operator algebras.
 - (c) Affine vertex operator algebras.
 - (d) Virasoro vertex operator algebras.
4. Intertwining operators and characters
 - (a) The notions of intertwining operators and intertwining maps.
 - (b) The notion of $P(z)$ -tensor products.
 - (c) Examples of intertwining operators and $P(z)$ tensor products: Heisenberg, lattice, affine and Virasoro.
 - (d) Statements of the convergence, associativity and commutativity of intertwining operators.
 - (e) The notions of the q -trace and characters of modules.
 - (f) Examples of characters: Heisenberg, lattice, affine and Virasoro.
 - (g) Convergence statements on characters.
 - (h) The statement of the modular invariance of the space of characters.

Minor Topic: Infinite dimensional Lie algebras

1. Basic Lie theory
 - (a) The finite-dimensional representation theory of $\mathfrak{sl}(2)$.
 - (b) Universal enveloping algebras and the Poincaré-Birkhoff-Witt theorem.
 - (c) Free Lie algebras.
2. Kac-Moody Lie algebras
 - (a) The definition and construction of Kac-Moody Lie algebras.
 - (b) The Weyl group.
 - (c) Standard modules.
 - (d) The Weyl-Kac character formula.
3. Examples of infinite dimensional Lie algebras
 - (a) $A_1^{(1)}$ and $A_2^{(2)}$, their root systems and their Weyl groups.
 - (b) The denominator formula for $A_1^{(1)}$ and the Jacobi triple product identity.
 - (c) (Twisted) $\widehat{\mathfrak{sl}}_2$ and its use to prove the Rogers-Ramanujan identities.

References

- [1] Lepowsky, J. & Li, H. Introduction to Vertex Operator Algebras and Their Representations. (Birkhäuser Boston, 2012)
- [2] Frenkel, I., Huang, Y. & Lepowsky, J. On Axiomatic Approaches to Vertex Operator Algebras and Modules. (American Mathematical Society, 1993)
- [3] Frenkel, I., Lepowsky, J. & Meurman, A. Vertex Operator Algebras and the Monster. (Elsevier Science, 1989)
- [4] Huang, Y., Lepowsky, J. & Zhang, L. Logarithmic tensor category theory for generalized modules for a conformal vertex algebra, I: Introduction and strongly graded algebras and their generalized modules. arXiv:1012.4193 [math.QA] (2013)
- [5] Huang, Y., Lepowsky, J. & Zhang, L. Logarithmic tensor category theory, II: Logarithmic formal calculus and properties of logarithmic intertwining operators. arXiv:1012.4196 [math.QA] (2012)
- [6] Huang, Y., Lepowsky, J. & Zhang, L. Logarithmic tensor category theory, III: Intertwining maps and tensor product bifunctors. arXiv:1012.4197 [math.QA] (2012)
- [7] Huang, Y. Differential equations, duality and modular invariance. *Commun. Contemp. Math.* **7**, 649-706 (2005)
- [8] Humphreys, J. Introduction to Lie Algebras and Representation Theory. (Springer New York, 1994)
- [9] Kac, V. Infinite-Dimensional Lie Algebras. (Cambridge University Press, 1990)
- [10] Carter, R. Lie Algebras of Finite and Affine Type. (Cambridge University Press, 2005)
- [11] Lepowsky, J. Lectures on Kac-Moody Lie Algebras, Université Paris. (Lecture Notes, 1978)
- [12] Lepowsky, J. & Wilson, R. Z-Algebras and the Rogers-Ramanujan Identities. *Vertex Operators In Mathematics And Physics*. pp. 97-142 (1985)
- [13] Lepowsky, J. Affine Lie algebras and combinatorial identities. *Lie Algebras And Related Topics*. pp. 130-156 (1982)