

MATH 642:581–Spring, 2014  
Assignment 5–Due April 23 (April 21 version)

A few preliminary remarks.

1. Follow the general instructions for homework given in:

<http://www.math.rutgers.edu/~saks/homework-grad.html>

2. Please be on the look out for errors. If something seems not to make sense, check with me before investing a lot of time on the problem. I would appreciate being notified of any typos (even minor ones).

PROBLEMS

1. (a) Prove that for any graph  $G$ ,  $\chi(G)\chi(\bar{G}) \geq |V(G)|$ .  
(b) Prove that for any graph  $G$ ,  $\chi(G) + \chi(\bar{G}) \geq 2\sqrt{|V(G)|}$ .  
(c) Show that for each perfect square  $n$  there is a graph on  $n$  vertices for which the previous two bounds are tight.  
(d) Prove that  $\chi(G) + \chi(\bar{G}) \leq |V(G)| + 1$ .
2. A graph is *outerplanar* if it can be drawn in the plane so that every vertex is in the boundary of the outer face. A graph is *maximal outerplanar* if it is outerplanar and any graph obtained by adding an edge (to the existing vertex set) is not outerplanar.
  - (a) State and prove a theorem that expresses the number of edges of a maximal outerplanar graph in terms of the number of vertices.
  - (b) Prove that  $K_4$  is not outerplanar.
  - (c) Prove that  $K_{2,3}$  is not outerplanar.
  - (d) Prove that if  $G$  is not outerplanar, then  $G$  contains a  $TK_4$  or a  $TK_{2,3}$ . (Hint provided below.)
3. The proof that every planar graph is 5 colorable (Theorem 8 in Bollobás; to be covered in class) can be modified to the following (false) proof that every planar graph is 4 colorable.

As in the proof of the 5 color theorem, let  $G$  be a counterexample with the fewest number of vertices and consider a planar embedding of  $G$ . We may assume that  $G$  is maximal planar. Let  $v$  be a vertex of degree at most 5 in  $G$  and let  $c$  be a 4-coloring of  $G - v$ . If the neighbors of  $v$  are colored by  $c$  with less than 3 colors then  $c$  can be extended to a 4-coloring of  $G$  so  $c$  uses all four colors on  $N(v)$ . So  $\deg(v) \geq 4$ . If  $v$  has degree 4 then the neighbors of  $v$  induce a cycle  $C$  with vertices  $x_1, x_2, x_3, x_4$  in order and  $x_i$  colored by color  $i$ . As in the proof of the 5CT there must be a path consisting of vertices colored 1 or 3 from  $x_1$  to  $x_3$  and a path consisting of vertices colored 2 or 4 from  $x_2$  to  $x_4$  and these paths lie in the outer face of  $C$  which means they must have a vertex in common, a contradiction. Suppose  $v$  has degree 5, so the neighbors of  $v$  induce a cycle  $C$  with vertices  $x_1, x_2, x_3, x_4, x_5$  in order. Since all 4 colors appear on  $C$ , we may assume wlog that  $x_i$  is colored  $i$  for  $1 \leq i \leq 4$  and  $x_5$  is colored 2.

Then there is a color 1,3 path linking  $x_1$  to  $x_3$  outside of  $C$  which means there is no color 2,4 path linking  $x_2$  to  $x_4$ . Similarly there is a color 1,4 path linking  $x_1$  to  $x_4$  outside of  $C$ , but then there is no color 2,3 path linking  $x_5$  to  $x_3$ . So recolor the 2,4 component of  $x_2$  by interchanging colors 2 and 4, and recolor the 2,3 component containing  $x_5$ . Then we have a new coloring in which  $x_2$  is color 4 and  $x_5$  is color 3 and color 2 does not appear on the cycle  $C$ . So we can extend the coloring to a coloring of  $G$  by coloring  $v$  by 2.

What is the falacy in this proof? Find a concrete example of a plane graph where the above “recipe” for coloring it fails.

4. (Bollabás, problem 5.36.) Prove that if the graph  $G$  has an orientation having no directed path with more than  $k$  vertices, then  $\chi(G) \leq k$ . (A hint is given in Bollobás.)
5. Let  $G$  be a triangle free graph.
  - (a) Prove:  $\chi(G) \leq \sqrt{2|V(G)|}$ . (Hint provided below.)
  - (b) For any graph  $H$  and positive integer  $d$  if  $H$  has a subgraph of minimum degree at least  $d$  then  $\chi(H) \leq \max(d, \chi(H'))$  where  $H'$  is any subgraph of  $H$  that is maximal among subgraphs of  $H$  of minimum degree at least  $d$ . (Note that if  $H$  itself has minimum degree at least  $d$  then this is a triviality.)
  - (c) Prove:  $\chi(G) \leq \lceil (4|E(G)|)^{1/3} \rceil$ .
6. Consider the following graph coloring game between two players Builder (who builds a graph) and Colorer (who colors the graph). The graph starts out empty. The game proceeds in a sequence of  $n$  rounds. At round  $j$  Builder adds a new vertex  $v_j$  to the graph and adds edges from  $v_j$  to some subset of previous vertices (of his choice). Colorer then assigns a color to vertex  $v_j$ , always maintaining that the graph is properly colored. (Vertices colored in previous rounds may not be recolored.) Let  $C$  be the number of colors used by Colorer throughout the game and let  $\chi$  be the chromatic number of the resulting graph. Colorer seeks to minimize his *regret* which is the ratio  $C/\chi$  (which is between 1 and  $n$ ). The goal of this problem is to show that Builder has a strategy that forces the regret of Colorer to be at least  $\Omega(n/(\log_2 n)^2)$ . (This is fairly amazing, you might want to think about this before reading further.)

Here is the strategy of the Builder. Let  $k$  be the least integer such that  $k2^{k-1} \geq n$ . As the game proceeds, to guide his strategy, Builder constructs a table with  $k$  columns and with rows labeled by the colors used by Colorer so far. (So every time a new color is used by Colorer, a row is added to the table.) In round  $j$  Builder will use the table to decide which vertices  $v_j$  should be joined to. After Colorer colors vertex  $v_j$ , Builder will place vertex  $v_j$  into the table. Builder will maintain the following properties for the table: (A) For each color  $r$  that has been used by Colorer, the vertices colored by  $r$  are in distinct locations of row  $r$  (B) The set of vertices in each column is independent.

Let  $T_{j-1}$  be the table constructed up to the begining of round  $j$ , so there are  $j-1$  vertices in the table. The *support* of color  $r$  in  $T_{j-1}$  is the set  $S(r)$  of columns for which the entry in row  $r$  is filled. Builder proceeds as follows: If there is some nonempty subset  $I$  of columns which is not the support of any color, Builder selects such a subset  $I$  and connects  $v_j$  to all of the vertices that are NOT in the columns indexed by  $I$ .

- (a) Show that after Colorer colors  $v_j$ , it is possible for Builder to place  $v_j$  in the table so as to maintain (A) and (B).
- (b) Show that for every step  $j \leq n$ , it is possible for Builder to carry out the above strategy.
- (c) Prove that if  $n$  is sufficiently large then the regret of Colorer is at least  $n/(\log_2(n))^2$ .

### Some hints

**Problem 2** For the last part, consider a graph that is not outerplanar, and consider a subgraph with the minimum number of edges that is not outerplanar. Show that (after removing any isolated vertices) this graph is 2-connected, and planar. Consider a planar embedding of the graph.

**Problem 5** . For part (a) prove by induction on  $k$  that if  $k$  is a positive integer and  $|V(G)| < (k + 1)^2/2$  then  $\chi(G) \leq k$ . Divide into cases according to the maximum degree of  $G$ . For part (c), use part (b) with part (a) and a careful choice of  $d$ .